**Syllabus for Master of Business Administration, 3rd Semester**

**Subject Class: Sectoral \_ Elective**

**Subject Name: Financial Markets and Services (FMS)**

**Subject Code: 4539292**

**With effective from academic year 2018-19**

# Learning Outcomes:

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| **Learning Outcome Component** | **Learning Outcome** |
| Business Environment and Domain Knowledge (BEDK) | * Demonstrate an awareness of the current structure and regulation of the Indian financial services sector. |
| Critical thinking, Business Analysis, Problem Solving and Innovative Solutions (CBPI) | * Develop insights regarding concept and mechanism of various financial markets and services. * Evaluate and create strategies to promote financial products and services. |
| Global Exposure and Cross- Cultural Understanding (GECCU) | * Understand the international financial instruments and services and the mechanics and conventions of global   financial markets. |
| Social Responsiveness and Ethics (SRE) | * Aims to help students understand and practice the highest standards of ethical behaviour associated with the profession of management of financial markets and services. * Identify conflicts of interest between market participants and between principal and agents. |
| Effective Communication (EC) | * Develop the ability to discuss and write about the financial instruments and their role in financial   planning. |
| Leadership and Teamwork (LT) | * Develop skills to evaluate an individual’s assets, needs and prepare plans for efficient wealth management. |

1. **Course Duration:** The course duration is of **40 sessions of 60 minutes each.**

# Course Contents:

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| **Module No:** | **Contents** | **No. of Sessions** | **70 Marks (External Evaluation)** |
| **I** | **Introduction to Financial Services:**  Meaning, Characteristics, Distinctiveness and Classification of Financial Services.  **Introduction to Indian Financial System**  Formal and Informal Sectors, Components of Formal Financial System including Financial Markets, Financial Institutions, Financial Services and Financial Instruments. Financial Inclusion and Exclusion  **Types of Financial Markets**  *Money Market* and Instruments, *Capital Market:* Primary and Secondary Market, Overview of Foreign Exchange Market  **Regulation of Financial System:**  Role of RBI, SEBI, IRDA | 10 | 18 |
| **II** | Factoring and Forfaiting, Housing finance, Merchant Banking and Issue Management**,** Stock Broking, Credit  Rating, Custodial and Depository Service, Leasing and | 10 | 18 |
|  | Hire Purchase |  |  |
| **III** | **Banking: Introduction to Banking Structure**  **NBFCs:** Types and Overview of regulations for NBFCs in India  **Specialized Financial Services** – Microfinance, Venture Capital, Private Placement, Private Equity, Securitization & Asset Reconstruction Company.  Overview of Credit Information Reporting. | 10 | 17 |
| **IV** | **Mutual Fund:** Concept, Types, Structure of Mutual Fund, Mutual Fund Schemes, Calculation of NAV, SIP, SWP.  **Crowdfunding:** Concept of crowdfunding, types of crowdfunding platforms, crowdfunding in India.  Basics of Crypto currency | 10 | 17 |
| **V** | **Practical:**   1. To prepare a report on the penetration digital financial services (online banking, virtual wallets and crowdfunding) in different countries of the world. 2. Understanding of various scams in Financial Markets 3. Learning from movies (e.g.: GAFLA, The Wall Street, Fall of Lehman Brothers etc.) 4. Any real life case related to Indian Financial System | --- | (30 marks CEC) |

1. **Pedagogy:**
   * ICT enabled Classroom teaching
   * Case study
   * Practical / live assignment
   * Interactive class room discussions

# Evaluation:

Students shall be evaluated on the following components:

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| **A** | **Internal Evaluation** | **(Internal Assessment- 50 Marks)** |
| * Continuous Evaluation Component | 30 marks |
| * Class Presence & Participation | 10 marks |
| * Quiz | 10 marks |
| **B** | **Mid-Semester examination** | **(Internal Assessment-30 Marks)** |
| **C** | **End –Semester Examination** | **(External Assessment-70 Marks)** |

# Reference Books:

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| --- | --- | --- | --- | --- |
| **Sr.**  **No.** | **Author** | **Name of the Book** | **Publisher** | **Year of Publication** |
| 1 | Thummuluri Siddaiah | Financial Services | Pearson | 2011 |
| 2 | Bharti Pathak | Indian Financial  System | Pearson | 2018 / 5th |
| 3 | K Sasidharan, Alex K  Mathews | Financial Services &  System | McGraw Hill | 2008 |
| 4 | M Y Khan | Financial Services | McGraw Hill | 2015 / 8th |
| 5 | M Y Khan | Indian Financial  Systems | McGraw Hill | 2017 / 10th |
| 6 | E. Gordon & K.  Natarajan | Financial Markets &  Services | Himalaya  Publication | 2016 / 10th |
| 7 | L M Bhole,  JitendraMahakud | Financial Institution  and Markets | McGraw Hill | 2017 / 6th |
| 8 | Sujatra Bhattacharyya | Indian Financial  System | Oxford | 2017 / 1st |

Note: Wherever the standard books are not available for the topic appropriate print and online resources, journals and books published by different authors may be prescribed.

# List of Journals / Periodicals / Magazines / Newspapers / Web resources, etc.

1. Journal of Financial Services Research
2. Chartered Financial Analyst
3. Economic Times
4. Business Standard
5. Financial Express
6. Harvard Business Review